

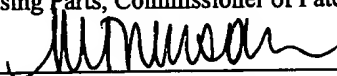


ATTORNEY'S DOCKET NO: C1037/7013 (HCL/MAT)
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert L. Bratzler, et al.
Serial No: 09/776,479
Conf No: 7139
Filed: February 2, 2001
For: IMMUNOSTIMULATORY NUCLEIC ACIDS FOR THE TREATMENT OF
ASTHMA AND ALLERGY
Examiner: Not yet assigned
Art Unit: 1645

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Missing Parts, Commissioner of Patents and Trademarks, Washington, D.C. 20231, on July 23, 2002.


Maria A. Trevisan, Reg. No. 48,207

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith are the following documents:

- [X] Notice to File Missing Parts mailed from USPTO 06/18/2002
- [X] Response to Notice to File Missing Parts
- [X] Notice to File Missing Parts mailed from USPTO 10/11/2001
- [X] Response to Notice to File Missing Parts including copies of documents sent on November 7, 2001 to the USPTO in Response to First Notice to File Missing Parts
- [X] Copy of Utility Patent Application Transmittal and Return Receipt Postcard stamped by the USPTO
- [X] CRF of Sequence Listing
- [X] Sequence Statement
- [X] Return Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

If any fee is determined to be due, the Examiner is authorized to charge said fee to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully Submitted,



Maria A. Trevisan, Reg. No.: 48,207
WOLF, GREENFIELD & SACKS, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
(617)720-3500

Attorney's Docket No.: C1037/7013
Date: July 23, 2002
X08/12/02
628685.1

COPIES OF PAPERS
ORIGINALLY FILED



ATTORNEY'S DOCKET NO: C1037/7013 (HCL/MAT)

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert L. Bratzler, et al.

Serial No: 09/776,479

Conf. No: 7139

Filed: February 2, 2001

For: IMMUNOSTIMULATORY NUCLEIC ACIDS FOR THE TREATMENT OF
ASTHMA AND ALLERGY

Examiner: Not yet assigned

Art Unit: 1645

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Missing Parts, Commissioner of Patents and Trademarks, Washington, D.C. 20231, on July 23, 2002.

Maria A. Trevisan, Reg. No. 48,207

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

Sir:

RESPONSE TO THE NOTICE TO FILE MISSING PARTS

This is in response to the Notice to File Missing Parts of Non-Provisional Application mailed from the United States Patent and Trademark Office (USPTO) on June 18, 2002.

On November 7, 2001, Applicants responded to the Notice to File Missing Parts mailed from the USPTO on October 11, 2001. Attached are copies of the Notice to File Missing Parts, Response to Notice to File Missing Parts, Check for \$954.00, Preliminary Amendment, Declaration for Patent Application, and Fee Calculation Sheet, as filed on November 7, 2001. Also enclosed is the Return Receipt Postcard stamped by the USPTO, indicating that the latter documents had been received by the USPTO on January 8, 2002. Accordingly, Applicants have already responded to the Notice to File Missing Parts, including in their previous response the oath/declaration presently requested by the USPTO.

On February 2, 2001, Applicants filed this non-provisional patent application with a Sequence Listing in Computer Readable Form (CRF), a paper copy of the Sequence Listing, and a Sequence Statement. A copy of the Utility Patent Transmittal and the Return Receipt Postcard stamped by the USPTO is enclosed. Applicants submit herewith an identical copy of the CRF of the Sequence Listing

submitted with the filing of this application, and a Sequence Statement in compliance with 37 CRF § 1.821 and 1.825.

Accordingly, Applicants believe that this document is responsive to the Notice to File Missing Parts.

If any other information is needed, please contact the undersigned attorney by phone (617-720-3500, Ext. 266) to expedite the further prosecution of this patent application.

Respectfully submitted,

WOLF, GREENFIELD & SACKS, P.C.



Maria A. Trevisan, Reg. No. 48,207
WOLF, GREENFIELD & SACKS, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
Tel. no. (617) 720-3500

ATTORNEY'S DOCKET NO.: C1037/7013

Date: July 23, 2002

X08/12/02



COPY OF PAPERS
ORIGINAL FILED

ATTORNEY'S DOCKET NO: C1037/7013 (HCL/MAT)
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert L. Bratzler, et al.
Serial No: 09/776,479
Filed: February 2, 2001
For: IMMUNOSTIMULATORY NUCLEIC ACIDS FOR THE TREATMENT OF
ASTHMA AND ALLERGY
Examiner: Not yet assigned
Art Unit: 1645

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Missing Parts, Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the 7th day of November, 2001.

Maria A. Trevisan, Reg. No. 48,207

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith are the following documents:

- ☒ Notice to File Missing Parts
- ☒ Response to the Notice to File Missing Parts
- ☒ Check for \$954.00
- ☒ Preliminary Amendment
- ☒ Declaration for Patent Application
- ☒ Fee Calculation Sheet
- ☒ Return Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

A check for \$954.00 is enclosed. If any further fee is determined to be due the Examiner is authorized to charged said fee to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully Submitted,

Maria A. Trevisan, Reg. No.: 48,207
WOLF, GREENFIELD & SACKS, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
(617)720-3500

Attorney's Docket No.: C0137/7013
Date: November 7, 2001
x12/11/01



COPY OF PAPERS
ORIGINAL FILED

ATTORNEY'S DOCKET NO: C1037/7013 (HCL/MAT)
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert L. Bratzler, et al.
Serial No: 09/776,479
Filed: February 2, 2001
For: IMMUNOSTIMULATORY NUCLEIC ACIDS FOR THE TREATMENT OF
ASTHMA AND ALLERGY
Examiner: Not yet assigned
Art Unit: 1645

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Missing Parts, Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the 7 day of November, 2001.

Maria A. Trevisan, Reg. No. 48,207

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

Sir:

RESPONSE TO THE NOTICE TO FILE MISSING PARTS

This is in response to the Notice to File Missing Parts of Non-Provisional Application mailed from the United States Patent and Trademark Office on October 11, 2001.

Attached is the Declaration and Power of Attorney executed by Robert L. Bratzler, Deanna M. Petersen and Yves Fouron as well as a Preliminary Amendment.

Also attached is a check in the amount of \$ 954.00 to cover the cost of the filing fee and the surcharge for filing a late Declaration and Power of Attorney.

Accordingly, Applicants believe that this document is responsive to the Notice to File Missing Parts.

Serial No: 09/776,479

-2-

If any other information is needed, please contact the undersigned attorney by phone (617-720-3500, Ext. 266) to expedite the further prosecution of this patent application.

Respectfully submitted,

WOLF, GREENFIELD & SACKS, P.C.



Maria A. Trevisan, Reg. No. 48,207

WOLF, GREENFIELD & SACKS, P.C.

600 Atlantic Avenue

Boston, MA 02210-2211

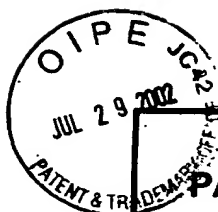
Attorneys for Applicant(s)

Tel. no. (617) 720-3500

ATTORNEY'S DOCKET NO.: C1037/7013 (HCL/MAT)

November 7, 2001

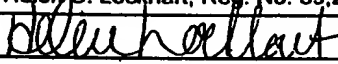
x12/11/01



UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	C1037/7013(HCL/MAT)
	First Named Inventor or Application Identifier	
	BRATZLER, Robert L.	
	Express Mail Label No.	EL711263015US
	Date of Deposit	February 2, 2001

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents</i>	ADDRESS TO: Box Patent Application Commissioner for Patents Washington, DC 20231
1. <input type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Specification [Total pages 85] 80 - pages description 1 - pages abstract 4 - pages claims 36 - Total claims 3. <input type="checkbox"/> Drawing(s) (35 USC 113) [Total sheets <input type="checkbox"/> <input type="checkbox"/> Informal <input type="checkbox"/> Formal [Total drawings <input type="checkbox"/> 4. <input type="checkbox"/> Oath or Declaration [Total pages <input type="checkbox"/> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> <i>[Note Box 5 below]</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 5. <input type="checkbox"/> Incorporation by Reference <i>(usable if Box 4b is checked)</i> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	6. <input type="checkbox"/> Microfiche Computer Program (Appendix) 7. <input checked="" type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input checked="" type="checkbox"/> Computer Readable Copy b. <input checked="" type="checkbox"/> Paper Copy (identical to computer copy) c. <input checked="" type="checkbox"/> Statement verifying identity of above copies ACCOMPANYING APPLICATION PARTS 8. <input type="checkbox"/> Assignment Papers/cover sheet & documents(s) 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <input type="checkbox"/> Power of Attorney 10. <input type="checkbox"/> English Translation of Document <i>(if applicable)</i> 11. <input type="checkbox"/> Information Disclosure Statement PTO-1449 <input type="checkbox"/> Copies of IDS Citations 12. <input type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 14. <input type="checkbox"/> Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. Other: This application claims priority under Title 35 §119(e), of United States Provisional Application No. 60/179,991, filed February 3, 2000, entitled IMMUNOSTIMULATORY NUCLEIC ACIDS FOR THE TREATMENT OF ASTHMA AND ALLERGY, the entire contents of which are incorporated herein by reference.
17. If a CONTINUING APPLICATION , check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: <input type="checkbox"/> Cancel in this application original claims of the prior application before calculating the filing fee. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: This application is a <input type="checkbox"/> continuation <input type="checkbox"/> divisional of application serial no. , filed , entitled , and now .	

18. CORRESPONDENCE ADDRESS					
Correspondence address below					
ATTORNEY'S NAME	Helen C. Lockhart, Reg. No. 39,248				
NAME	Wolf, Greenfield & Sacks, P.C.				
ADDRESS	600 Atlantic Avenue				
CITY	Boston	STATE	MA	ZIP	02210
COUNTRY	USA	TELEPHONE	(617) 720-3500	FAX	(617) 720-2441

19. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
NAME	Helen C. Lockhart, Reg. No. 39,248
SIGNATURE	
DATE	February 2, 2001

Inventor(s): Robert L. Bratzler, et al.

Serial No: 09/776,479

Filed: February 2, 2001

For: IMMUNOSTIMULATORY NUCLEIC ACIDS FOR THE TREATMENT OF ASTHMA AND ALLERGY

CHECK BOX, if applicable:

☐ DUPLICATE

Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	20-20=	0 x	\$ 18	= \$ 0.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	4-3=	1 x	\$ 84	= \$ 84.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$	= \$
				BASIC FEE (37 CFR 1.16(a))	\$ 740.00
	Fee for Petition for Extension of Time (if any)				\$
	Total of above Calculations =				\$ 824.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$
	Assignment Recordation Fee (if any)				\$
	Other Fees (if any).				\$ 130.00
	TOTAL =				\$ 954.00

1. A check in the amount of \$954.00 is enclosed.

General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☒ 1.16 ☒ 1.17 or ☐ 1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.



Maria A. Trevisan, Reg. No. 48,207
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
(617) 720-3500
Attorneys of Record

Docket No. C10377013 (HCL/MAT)
Date: November 1, 2001

COPY OF PAPERS
ORIGINALLY FILED

UNITED STATES PATENT AND TRADEMARK OFFICE

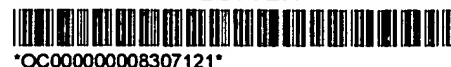
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/776,479	02/02/2001	Robert L. Bratzler	C1037/7013 HCL/MAT)

CONFIRMATION NO. 7139

Helen C. Lockhart
Wolf Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210

FORMALITIES LETTER



OC000000008307121

Date Mailed: 06/18/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

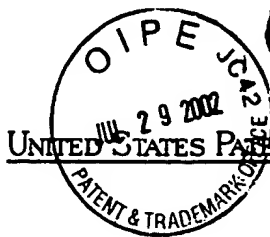
A copy of this notice MUST be returned with the reply.

qehs

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

COPY OF PAPERS
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/776,479	02/02/2001	Robert L. Bratzler	C1037/7013(HCL/MAT)

CONFIRMATION NO. 7139

FORMALITIES LETTER



OC000000006884230

Helen C Lockhart
Wolf Greenfield & Sacks P C
600 Atlantic Avenue
Boston, MA 02210

Date Mailed: 10/11/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$368.
 - \$288 for 16 total claims over 20.
 - \$80 for 1 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1208.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



COPY OF PAPERS
ORIGINALLY FILED

Serial No. 09/176,479 File No. C1037/7013 By: HCL/MAT/egs
Title: Immunostimulatory Nucleic Acids for the Treatment of Asthma
Application of Bratzler, et al WGS Date: _____

The U.S. PTO Mail Room acknowledges receipt of the following on the date stamped hereon:

<input type="checkbox"/> Mailing by Express Mail (37 CFR 1.10) Express Mail Label No. _____	<input type="checkbox"/> Provisional Application Cover Sheet
<input type="checkbox"/> Patent Application	<input type="checkbox"/> Multiple Dependent Claim Fee Sheet
<input type="checkbox"/> Non-provisional <input type="checkbox"/> Provisional	<input type="checkbox"/> Inf. Disc. Statement, PTO Form 1449
incl. _____ pages, (_____ pgs) Specification,	<input type="checkbox"/> References Cited
(_____ pgs) Abstract, (_____ pgs) Claims (_____ # claims)	<input type="checkbox"/> Priority Document(s) # _____
<input checked="" type="checkbox"/> Design Patent Application	<input type="checkbox"/> Copy of Notice to File Missing Parts
Declaration(s) <u>for Patent Application</u>	<input type="checkbox"/> Amendment/Response
Drawings _____ Sheet(s) (FIGS. _____)	<input type="checkbox"/> Petition for Ext. of Time (x2)
<input type="checkbox"/> Formal <input type="checkbox"/> Informal	<input type="checkbox"/> Issue Fee Transmittal
<input type="checkbox"/> Utility Patent Application Transmittal	<input type="checkbox"/> Letter to Official Draftsperson
<input type="checkbox"/> Fee calculation sheet (x2)	<input type="checkbox"/> Notice of Appeal
<input type="checkbox"/> CPA Transmittal	<input checked="" type="checkbox"/> Brief (x3)
<input type="checkbox"/> Verified Statement claiming small entity status	<input checked="" type="checkbox"/> Check for \$ <u>954.00</u> Check # <u>7944</u>
<input type="checkbox"/> Assignment and Cover Sheet	<input checked="" type="checkbox"/> Transmittal Letter (x2)
	<input checked="" type="checkbox"/> Cert. of Mailing under 37 CFR 1.8(a)

☒ Other Response to the Notice to File Missing Parts:
Preliminary Amendment

DATE MAILED November 7, 2001

Wolf, Greenfield & Sacks, P.C.

PTFM Account
600 Atlantic Avenue
Boston, MA 02210



CITIZENS BANK
MASSACHUSETTS

5-7017/2110

440007944

7944

DATE
November 07, 2001

AMOUNT
\$ 954.00

Y **Nine Hundred Fifty Four AND 00/100 DOLLARS**

THE **Commissioner of Patents & Trademarks**
DER

1032/2123

Margaret Kelly Ross

THE REVERSE SIDE OF THIS DOCUMENT INCLUDES AN ARTIFICIAL WATERMARK - HOLD AT AN ANGLE TO VIEW

007944 2110701751 1108202451

OF PAPERS
ALLY FILED

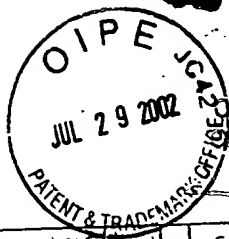


COPY OF PAPERS
ORIGINALLY FILED

Serial No. 09/716,479 File No. C1037/7013 By: HCI/MAT/eqs
Title: Immunostimulatory Nucleic Acids for the Treatment of Asthma...
WGS Date: _____
Application of Bratzler, et al
The U.S. PTO Mail Room acknowledges receipt of the following on the date stamped hereon:
☐ Mailing by Express Mail (37 CFR 1.10)
☐ Express Mail Label No. 13
☐ Patent Application
☐ Non-provisional ☐ Provisional
incl. _____ pages, (pgs) Specification, (claims)
(pgs) Abstract, (pgs) Claims
☒ Design Patent Application
Declaration(s) for Patent Application
Drawings _____ Sheet(s) (FIGS) 1
☐ Formal ☐ Informal
Utility Patent Application Transmittal
Fee calculation sheet (x2)
CPA Transmittal
Verified Statement claiming small entity status
Assignment and Cover Sheet
☐ Provisional Application Cover Sheet
☐ Multiple Dependent Claim Fee Sheet
☐ Inf. Disc. Statement, PTO Form 1449
☐ References Cited
☐ Priority Document(s)
☐ Copy of Notice to File Missing Parts
☐ Amendment/Response
☐ Petition for Ext. of Time (x2)
☐ Issue Fee Transmittal
☐ Letter to Official Draftsperson
☐ Notice of Appeal
☐ Brief (x3) 0 \$4.00 Check # 13
☐ Check for \$ 13
☐ Transmittal Letter (a)
☐ Cert. of Mailing under 37 CFR 1.101(a)

☒ Other Response to the Notice to File Missing Parts
Preliminary Amendment

DATE MAILED November 7, 2001



COPY OF PAPERS
ORIGINALLY FILED

Serial No. _____ File No. C1037/7013 By: HCU/MAT/SES
Title: Immune Stimulatory Nucleic Acids for the Treatment of Asthma &
Application of: Robert C. Bratzler et al. WGS Date: 02/03/01 Adegy

The U.S. PTO Mail Room acknowledges receipt of the following on the date stamped hereon:

☒ Mailing by Express Mail (37 CFR 1.10)
Express Mail Label No. EL711263015US
☒ Patent Application
Non-provisional ☐ Provisional
Incl. 85 pages, 80 pgs) Specification
(1 pgs) Abstract, (8 pgs) Claims (32 # claims)
Design Patent Application
Declaration(s) _____
Drawings _____ Sheet(s) (FIGS. _____)
☐ Formal ☐ Informal
☒ Utility Patent Application Transmittal (x2)
Fee calculation sheet (x2)
CPA Transmittal
Verified Statement claiming small entity status
Assignment and Cover Sheet

Provisional Application Cover Sheet
Multiple Dependent Claim Fee Sheet
Inf. Disc. Statement, PTO Form 849
☐ References Cited
Priority Document(s) # _____
Copy of Notice to File Missing Page
Amendment/Response
Petition for Ext. of Time (x2)
Issue Fee Transmittal
Letter to Official Draftsperson
Notice of Appeal
Brief (x3)
Check for \$ _____ Check # 01033 U.S. 09/776479
Transmittal Letter (x2)
Cert. of Mailing under 37 CFR 1.8(a)



206 pages
☒ Other Paper copy & Computer readable copy of
Severed Listing and Statement Pursuant to
37 CFR 1.82(f)

DATE MAILED February 2, 2001

RECEIVED

JUL 2 2021

USPTO MAIL CENTER

CG1037170013



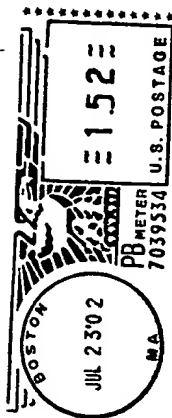
SPECIALISTS IN INTELLECTUAL PROPERTY LAW

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue | Boston, Massachusetts 02210-2206

Box MISSING PAKTS

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231



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